

United States Patent and Trademark Office

COMMISSIONER FOR PATENTS United States Patent and Trademark Office WASHINGTON, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/036,740

Young-Hwa Kim

H49.12-0002

12/31/2001

CONFIRMATION NO. 4461 FORMALITIES LETTER

OC000000007447935*

Steven M. Koehler **WESTMAN CHAMPLIN & KELLY** International Centre, Suite 1600 900 South Second Avenue Minneapolis, MN 55402-3319

Date Mailed: 02/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- · The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor : Young-Hwa Kim et al.

Appln. No.: 10/036,740

Filed

: December 31, 2001

For

: PENETRATION RESISTANT FABRIC

WITH MULTIPLE LAYER GUARD PLATE ASSEMBLIES AND METHOD OF MAKING

THE SAME

Docket No.: H49.12-0002

Group Art Unit:1771

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE ASSISTANT COMMISSIONER FOR PATENTS. WASHINGTON, D.C. 20231, THIS

PATENT ATTORNEY

Sir:

In response to the Notice to File Missing Application - Filing Date Granted dated February 8, 2002, Applicant encloses the following documents to complete the aboveidentified patent application filed December 31, 2001:

- 1. A charge authorization (PTO-2038) in the amount of \$435.00 (to cover the filing fee \$370.00 and surcharge fee of \$65.00). This application is entitled to small entity status.
- Combined Declaration and Power of Attorney executed by 2. the inventor(s).
- 3. Fee Calculation Sheet (duplicate).
- 4. Notice to File Missing Parts of Application-Filing Date Granted.

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123.

> Respectfully submitted, WESTMAN, CHAMPLIN & KELLY, P.A.

Steven M. Koehler, Reg. No. 36,188 Suite 1600 - International Centre 900 Second Avenue South

Minneapolis, Minnesota 55402-3319 Phone: (612) 334-3222 Fax: (612) 334-3312

SMK: jmb

2		Complete if Known					
FEE TRANSMITTAL	Application No.				10/036,740		
	Filing Date				December 31, 2001		
O THE JOIN STATE OF THE PARTY O	First N	Named	Inventor	r `	Young-Hwa Kim		
	Title				PENETRATION RESISTANT FABRIC WITH	Ī	
					MULTIPLE LAYER GUARD PLATE ASSEMBLIES AND METHOD OF MAKING		
					THE SAME		
CATENT 3. TRIL	Group	Art Un	it	1	1771		
	Examiner Name						
Total Amount of Payment \$ 435	Atty. Docket Number			. 1	149.12-0002		
METHOD OF PAYMENT (Check One)	F			FEE	EE CALCULATION (Continued)		
The Director is hereby authorized to charge any additional fee	3. ADD	DITIONAL	. FEE\$			_	
required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123.	Large	Entity	Small	Entity			
Westman, Champlin & Kelly, P.A.	Fee	Fee	Fee	Fee	•		
2. X PTO-2038 Enclosed	Code	(\$)	Code	(\$)	Paid		
	105	130	205	6	5 Surcharge - Late filing fee or oath 65		
FEE CALCULATION	127	50	227	2	5 Surcharge - Late provisional Filing Fee or cover sheet		
1. BASIC FILING FEE	139	130	139	13	0 Non-English specification		
Large Entity Small Entity	147	2,520	147	2,52	 For Filing a Request for Reexamination. (ex parte) 		
Fee Fee Fee	115	110	215	5	5 Extension for reply within first month		
Code (\$) Code (\$) Fee Description	116	400	216	20	0 Extension for reply within second month		
101 740 201 370 🗵 Utility Filing Fee	117	920	217	46	0 Extension for reply within third month		
106 330 206 165 Design Filing Fee	118	1,440	218	72	0 Extension for reply within fourth month		
108 .740 208 370 ☐Reissue Filing Fee	128	1,960	280	98	0 Extension for reply within fifth month		
114 160 214 80	120	320	220	16	9 Filing a brief in support of an appeal		
Subtotal (1) \$ 370	121	280	221	14	0 Request for oral hearing		
2. EXTRA CLAIM FEES	148	110	248	5	5 Terminal Disclaimer Fee		
Number Prior** Extra Fee from Fee Paid Claims Below	140	110	240	5	5 Petition to Revive - unavoidable		
Total 14 20 0 9 0	141	1,310	241	67	Petition to Revive - unintentional		
Indep. 2 3 0 42 0	142	1,310	242	67	Utility/Reissue issue fee (inc. advance copies)		
Multiple Dependent Claims	143	490	243	26	Design issue fee (inc. advance copies)		
** Insert 3 and 20, or number previously paid if greater; Reissue see below	122	130	122	130	9 Petitions to the Commissioner		
Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$)	123	50	123	5	Petitions related to provisional applications		
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3	126	180	126	180	O Submission of Information Disclosure Statement		
104 280 204 140 Multiple Dependent Claims	581	40	581	40			
109 84 209 42 Reissue Independent Claims over Original Patent 110 18 210 9 Reissue claims in excess of 20	!				property (times number of properties)		
and over original patent	Other F	ee (speci	ty)				
Subtotal (2) \$ 0			-		Subtotal (3) \$6	i5_	

Signature

Steven M. Koekler

Reg. No. 36.188

Date 3/19/01

Deposit Account No. 23-1123